
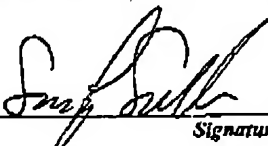


CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): KANGGUO CHENG ET AL.			Docket No. FIS920030163US1
Application No. 10/604,565	Filing Date 07/30/2003	Examiner THAO P. LE	Group Art Unit 2818
Invention: METHOD AND STRUCTURE FOR VERTICAL DRAM DEVICES WITH SELF-ALIGNED UPPER TRENCH SHAPING			
<p>I hereby certify that this <u>Transmittal and Amendment</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-872-9306</u>)</p> <p>on <u>December 30, 2004</u> (Date)</p> <p style="text-align: right;"><u>Jessica L. Walsh</u> (Typed or Printed Name of Person Signing Certificate)  (Signature)</p> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p>			

P18/REV02

AMENDMENT TRANSMITTAL LETTER (Large Entity)					Docket No. FIS920030163US1	
Applicant(s): KANGGUO CHENG ET AL.						
Application No. 10/604,565	Filing Date 07/30/2003	Examiner T. LE	Customer No. 29371	Group Art Unit 2818	Confirmation No. 1565	
Invention: METHOD AND STRUCTURE FOR VERTICAL DRAM DEVICES WITH SELF-ALIGNED UPPER						
<u>COMMISSIONER FOR PATENTS:</u>						
Transmitted herewith is an amendment in the above-identified application.						
The fee has been calculated and is transmitted as shown below.						
CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	27 -	25 =	2	x \$18.00	\$36.00	
INDEP. CLAIMS	3 -	3 =	0	x \$86.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$36.00	
<input checked="" type="checkbox"/> No additional fee is required for amendment. <input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ <input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed. <input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0458 <input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16. <input type="checkbox"/> Any patent application processing fees under 37 CFR 1.17. <input type="checkbox"/> Payment by credit card. Form PTO-2038. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
 _____ Signature			Dated: December 30, 2004			
Sean F. Sullivan Reg. No. 38,328 Cantor Colburn LLP 55 Griffin Road South Bloomfield, CT 06002 860-286-2929			I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____ (Date) _____ Signature of Person Mailing Correspondence _____ Typed or Printed Name of Person Mailing Correspondence			
CC:						

P11LARGE/REV08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KANGGUO CHENG, ET AL.)
Serial No.: 10/604,565) Group Art Unit: 2818
Filed: July 30, 2003) Examiner: Le, T.
For: METHOD AND STRUCTURE FOR) Confirmation No.: 1564
VERTICAL DRAM DEVICES WITH)
SELF-ALIGNED UPPER TRENCH)
SHAPING)

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AMENDMENT AFTER FINAL

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In response to the Final Office Action mailed November 2, 2004, please amend
the Application as follows: